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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10509137
	Filing Date		2004-09-27
	First Named Inventor	Jeffrey S. Lockwood et al.	
	Art Unit	3761	
	Examiner Name	Karin M. Reichle	
	Attorney Docket Number	7175-71858	

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	1709520		1929-04-16	Chandler	
	2	2078180		1937-04-20	Kronenberg	
	3	3492991		1970-02-03	Dyer, Jr.	
	4	3585742		1971-06-22	Tyler	
	5	3683894		1972-08-15	Villari	
	6	3721244		1973-03-20	Elmaleh	
	7	3752158		1973-08-14	Kariher	
	8	3982546		1976-09-28	Friend	

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9	4013076		1977-03-22	Puderbaugh et al.	
10	4533419		1985-08-06	Pieslak et al.	
11	4645492		1987-02-24	Weeks	
12	4655210		1987-04-07	Edenbaum et al.	
13	4735606		1988-04-05	Davison	
14	4735610		1988-04-05	Akkas et al.	
15	4798578		1989-01-17	Ranford	
16	4957492		1990-09-18	McVay	
17	4988336		1991-01-29	Kohn	
18	4994022		1991-02-19	Steffler et al.	
19	5035865		1991-07-30	Inaba et al.	

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	20	5071409		1991-12-10	Rosenberg	
	21	5146925		1992-09-15	Snow	
	22	5189609		1993-02-23	Tivig et al.	
	23	5265605		1993-11-30	Afflerbach	
	24	5306298		1994-04-26	Godley, III et al.	
	25	5534346		1996-07-09	Robinson	
	26	5635201		1997-06-03	Fabo	
	27	5718955		1998-02-17	McGuire et al.	
	28	5827296		1998-10-27	Morris et al.	
	29	5881723		1999-03-16	Wallace et al.	
	30	6149614		2000-11-21	Dunshee et al.	

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	31	6471685		2002-10-29	Johnson	
	32	6472581		2002-10-29	Muramatsu et al.	
	33	6553998		2003-04-29	Heaton et al.	
	34	6599277		2003-07-29	Neubert	
	35	6638270		2003-10-28	Johnson	
	36	6752794		2004-06-22	Lockwood et al.	
	37	6755807		2004-06-29	Risk, Jr. et al.	
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	39	6800074		2004-10-05	Henley et al.	
	40	6814079		2004-11-09	Heaton et al.	
	41	6824533		2004-11-30	Risk, Jr. et al.	

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	42	6855135		2005-02-15	Lockwood et al.	
	43	7022113		2006-04-04	Lockwood et al.	
	44	7144390		2006-12-05	Hannigan et al.	

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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010043943		2001-11-22	Coffey	
	2	20020193723		2002-12-19	Batdorf, Sr. et al.	
	3	20030208149		2003-11-06	Coffey	
	4	20040064111		2004-04-01	Lockwood et al.	
	5	20040243073		2004-12-02	Lockwood et al.	
	6	20040249353		2004-12-09	Risks, Jr. et al.	

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	1	1584772	GB		1981-02-18			<input type="checkbox"/>
	2	99/01173	WO		1999-01-14			<input type="checkbox"/>
	3	03/005943	WO		2003-01-23			<input type="checkbox"/>
	4	76351	HU		1997-08-28			<input type="checkbox"/>
	5	51150	HU		1990-04-28			<input type="checkbox"/>
	6	96/05873	WO		1996-02-29			<input type="checkbox"/>
	7	98/38944	WO		1998-09-11			<input type="checkbox"/>
	8	00/21586	WO		2000-04-20			<input type="checkbox"/>
	9	99/59816	WO		1999-11-25			<input type="checkbox"/>

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	10	01/89431	WO		2001-11-29			<input type="checkbox"/>
	11	01/85248	WO		2001-11-15			<input type="checkbox"/>
	12	93/09727	WO		1993-05-27			<input type="checkbox"/>
	13	03/045492	WO		2003-06-05			<input type="checkbox"/>
	14	2307180	GB		1997-05-21			<input type="checkbox"/>
	15	1726276	EP		2006-11-29			<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	International Search Report for WO 03/045492 A1, Lockwood et al., 06-2003	<input type="checkbox"/>
	2	Abdullah, BJJ, JHK Coll Radio1, February 21, 2001; Vol. 4, pp. 272-273 - "A New Method for Fixation of Drainage Catheters".	<input type="checkbox"/>

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EXAMINER SIGNATURE			
Examiner Signature		Date Considered	
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			
<p>¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.</p>			

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CERTIFICATION STATEMENT

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☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/sms/	Date (YYYY-MM-DD)	2007-04-09
Name/Print	Scott M. Simmonds	Registration Number	55620

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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	1	re29319		1977-07-26	Nordby et al.	

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	1	20050004534		2005-01-06	Lockwood et al.	
	2	20050010153		2005-01-13	Lockwood et al.	
	3	20050070858		2005-03-31	Lockwood et al.	
	4	20050085795		2005-04-21	Lockwood et al.	
	5	20050090787		2005-04-28	Risk, Jr. et al.	

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6	20050131327		2005-06-16	Lockwood et al.	
7	20060015087		2006-01-19	Risk, Jr. et al.	
8	20060029650		2006-02-09	Coffey	
9	20060041247		2006-02-23	Petrosenko et al.	
10	20060129137		2006-06-15	Lockwood et al.	
11	20070032778		2007-02-08	Heaton et al.	
12	20070038172		2007-02-15	Zamierowski	
13	20070005028		2007-01-04	Risk, Jr. et al.	

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	1		<input type="checkbox"/>
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